



In re application of: Park et al.
Serial No.: 09/992,474 Group: Art Unit 2813
Filed: November 14, 2001 Examiner: Kielin, Erik J.
For: **STRUCTURE AND METHOD OF PRESERVE STI DURING ETCHING**

Mail Stop Non-Fee Amendment
Commissioner for Patents
PO Box 1450
Alexandria VA 22313

RECEIVED
JUN -2 2003
TECHNOLOGY CENTER 2800

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.
☐ A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.
☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE
TOTAL	14 *	MINUS 20**	= 0	X 9	\$ 0
INDEP.	1 *	MINUS 3***	= 0	X 42	\$ 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				X 140	\$ 0
TOTAL					OR TOTAL \$ 0
ADDIT. FEE					\$ 0

*If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

**If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".


***If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ Please charge Deposit Account No. ____ in the amount of \$____. Two (2) copies of this sheet are enclosed.
☐ Please charge fee of \$____ for _____ by Credit Card Payment Form PTO-2038 enclosed herewith
☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0679. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0679 therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,

F. CHAU & ASSOCIATES
1900 Hempstead Turnpike
Suite 501
East Meadow, NY 11554
Tel: (516) 357-0091
Fax: (516) 357-0092


Frank Chau
Reg. No. 34,136
Attorney for Applicant

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop Non Fee Amendment, Commissioner for Patents, PO Box 1450, Alexandria VA 22313 on May 27, 2003.

Dated: 5/27/03


Frank Chau



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Park et al.

Examiner: Kielin, Erik J.

Serial No: 09/992,474

Group Art Unit: 2813

Filed: November 14, 2001

Docket: 8071-5 (OPP 000681US)

For: **LIQUID CRYSTAL DISPLAY HAVING IMPROVED CONTRAST RATION AND COLOR REPRODUCTION WHEN VIEWED IN THE LATERAL DIRECTION**

Mail Stop Non-Fee Amendment
Commission for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
JUN - 2 2003
TECHNOLOGY CENTER 2400

RESPONSE TO RESTRICTION REQUIREMENT

Sir:

In response to the office action dated April 24, 2003, Applicant provisionally elects claims 1-8, with traverse. Claims 1-14 are pending in the current application.

The Examiner states that the first embodiment is shown in Figure 1B and the second embodiment is shown Figure 2. Further, the Examiner states no claim is generic and Applicant is required to elect a single disclosed species for prosecution on the merits.

Applicants respectfully disagree. Claim 1 has been amended in the accompanying preliminary amendment to further define the invention. Applicants respectfully submit

CERTIFICATE OF MAILING 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence (and any document referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail, postage paid in an addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 27, 2003.


Dated: 5/27/03


Frank Chau

that the restriction requirement is improper because, at the very least, each of the independent claims 1 and 9 read on both Figure 1B and Figure 2. Thus, both embodiments are embraced by claims 1-8 and 9-14, and the restriction requirement is believed to be improper. The Examiner is encouraged to maintain all claims in the same application for the reasons stated above.

Early and favorable consideration of this application is earnestly solicited.

Respectfully Submitted

By: 
Frank Chau
Reg. No. 34,136
Attorney for Applicants

F.CHAU & ASSOCIATES, LLP
1900 Hempstead Turnpike, Suite 501
East Meadow, New York 11554
Telephone: (516) 357-0091
Facsimile: (516) 357-0092